

DIAS WEALTH

EXISTING ANNUITY FORM

1. Basic Annuity Information

Client _____ Client _____ Owner _____
Annuitant _____ Trust Owned Yes No Trust Type _____
Insurance Company _____ Contract # _____
Date of Issue _____ Years Inforce _____ Account Type _____
Current Value _____ Surrender Value _____ Original Deposit _____
Product Name _____ Surrender Charge (Years) _____ Free Withdrawal % _____
Annuity Type _____ Original Purpose of Annuity _____
Spousal Continuation Yes No Waiver of Surrender Charge Yes No
Waiver Type(s)
 Terminal illness Nursing Home Home Healthcare Other _____
Income Rider Type Single Life Joint Life
 Guaranteed Minimum Accumulation Benefit (GMAB) Guaranteed Minimum Withdrawal Benefits (GMWB)
 Guaranteed Minimum Income Benefit (GMIB) Guaranteed Lifetime Withdrawal Benefit (GLWB)
Income Rider Yes No Benefit % _____ Fee % _____ Income Rider Value _____
Income Rider Payable on Death Yes No Roll-up Period (Years) _____ Simple Compound
Death Benefit Rider Yes No Benefit % _____ Death Benefit Period (Years) _____
Fee % _____ Basic Enhanced Death Benefit Value _____

2. Variable Annuity Fees

Mortality and Expense Ratio (M&E) _____ Administration _____ Distribution Charge _____

Subaccounts including Management Fee, 12b-1, and Other Expenses (please list below):

_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

Fixed Account Options Available Yes No

3. Indexed Annuity Fees

Market Value Adjustment (MVA) Yes No **Amount** _____

Bonus Yes No **Amount** _____ Upfront Vested **Vesting Period (Years)** _____

Index Crediting Options

Monthly Point-to-Point Annual Point-to-Point Monthly Sum Monthly Average

High Water Mark Low Water Mark Fixed Account Other _____

Index (or Indices) Available

S&P 500 Nasdaq Dow Jones

Other Indices and Fees (please list below):

_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

Proprietary Index (or Indices) Available Yes No

4. Other Information

Please list any other pertinent information not outlined above:

5. Acknowledgment and Approval

Client has provided all pertinent and factual information pertaining the above information and releases liability from Adviser.

Client Signature

Date

Client Signature

Date

Adviser Signature

Date